CLASSIFYING PHYSICAL MODELS AND PROTOTYPES IN THE DESIGN PROCESS: A STUDY ON THE ECONOMICAL AND USABILITY IMPACT OF ADOPTING MODELS AND PROTOTYPES IN THE DESIGN PROCESS

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1. Introduction

Since the emergence of formalised Industrial Design practice, modelmaking and prototyping has been considered to be an indispensable tool for professional designers. The comprehensive range of modelmaking and prototyping methods is being used to stimulate creativity and develop the functionality and appearance of a product before it goes into production [Hallgrimsson 2012]. It is a tool, which enables designers to reflect on their design activities and explore the design space, while taking into consideration aesthetic, ergonomic, market and production issues. In other words, modelmaking and prototyping is a way for designers to explore form, composition and functionality from idea to detail design. Physical model making and prototyping is one the most recognised and accepted approach that has always been used by the designers to visualise and communicate their design solutions [Hallgrimsson 2012].

The emergence of CAD has gained a significantly strong foothold in industrial design in the past few decades, with respect to visualisation, evaluation and realisation of products [Hallgrimsson 2012], physical models and prototypes are still indispensable, especially when the designer wants to assure him or herself through tactile experiences with the product [Earnshaw and Jones 1995]. In this context, Charlesworth [2007] and Kelly [2001] supports the existence of physical models and rejects the notion of ultimate dependency on virtual models as tools for solving all design problems. Hence in real life project models and prototypes are still leading and getting more acknowledgement than 3D computer rendered and animation models [Hallgrimsson 2012], because models and prototypes will assist in face to face interactions among different stakeholders, such clients, designers and consumers. Through physical interaction, these models will also provide more intricate information about the design as to highlight unforeseen problems.

There are various definitions of model making and prototyping from literature. According to Hallgrimsson [2012] model making and prototyping are different activities, even though they are in principal associated. He defines prototyping as a design method that uses physical prototypes to study and test how a new product will be used, and how it will look in a “manufactured state”. Alternatively, he defines model making, as a step by step method for producing the prototype. According to Kelly [2001], prototyping is defined as problem solving. It is a kind of culture and language. One can prototype just about anything; a new product or service, or a special promotion. Therefore, he strongly recommends designers should frequently use physical models in design process. Other designers such as Hasdogan [1996], Terstiege [2009] and Kojima [1991] define model making as a logical next step in the thinking process for every design idea. This means that when someone starts using materials and
fabrication techniques, they are able to refine their ideas better. This implies that each person is served by a modelmaking approach, when they need to translate an idea into a physical reality. After reviewing how different designers and researchers have defined models and prototypes, one may say that models and prototypes are essential tools for testing a typical concept or design on its use and appearance. Besides that, they also have the complementary function to enrich respective design processes and activities, with or without the involvement of stakeholders, especially when it concerns designer – client relationships.

With respect to manufacturing, prototyping is important to anticipate how products can be produced and assembled as efficiently as possible. Within the materialisation and pre-production stages, prototypes will mostly be used to test and measure the final design proposal according to the design requirements and to make sure that it functions, technically as well as from a use perspective.

In this article the following research questions will be addressed: (1) How do designers classify physical model and prototypes and how does it help them in the design process? ; (2) To what extend do designers use model making and prototyping to communicate their design with different stakeholders throughout their design process? and (3) How instrumental are models and prototypes in facilitating design changes throughout the different stages of the design process from an economical perspective?

2. The importance of model making and prototyping

The use of physical models and prototypes would enable designers to easily identify design problems and potential solution within the context of space and user needs. Models and prototypes can be described as a “designer’s multi-dimensional expression.” This means that designers can use models and prototypes to express their ideas in accurate and precise manners to others [Kojima 1991]. Similarly as “a picture tells a thousand words”, “prototypes are worth a thousand pictures”. [Kelly 2001]. Kelly also mentions in his research that prototypes are wonderful tools for understanding tangibility. Good prototypes not only communicate with people, but also possess the leverage to persuade people. According to Hallgrimsson [2012] prototypes are playing an important role for designers in order to allow them to physically see the idea in 3D form, and therefore an essential medium for problem solving in design. He added that it is important for the designers to prototype and built models, because it assists the designer to identify and solve potential problems. Furthermore, Kojima [1991] elaborated why physical models are important because it allows designers to experiment with form, material and context, before moving on to the next stage. Complementary, the insight gained on materials and construction methods will prospectively influence how the actual product should be manufactured in future, taking costs into consideration.

3. Classification of models in design

Physical models might be classified differently in different fields of design development but there appeared to be very limited classifications which clearly explained the actual characteristics and functions of each physical models in the design process [Ullman 2003]. The lack of classifications will make it harder for the designer to understand the true potential of physical models in various fields. A literature survey significantly clarified that designers and researchers have adopted different ways of classifying models based on different criteria. Some attempted to classify them based on cost and stage of design , whereas others categorised models based on their purpose to explore or assess functionality. [Kojima 1991], [Mascitelli 2000], [Ullman 2003], [Michaelraj 2009], [Broek et al. 2009], [Ulrich and Eppinger 2012], [Sæter et al. 2012]. Although the different researchers have introduced different ways of classifying their models, each classification is relevant and applicable a structured and strict design process [Roozenburg and Eekels 1995], however this paper focused on the classification of physical models in the design process as a supportive tools for designers. After examining different classifications of physical models, the following classification as shown in table 1 has been preferred based on what designers perceive to be most familiar with in their designing activities. Within this context of design practice the objective is to gain a shared understanding of physical models to help reduce the complexities involved in fabrication and selection. [Michaelraj 2009].
3.1 Soft model

According to Ulrich and Eppinger [2012], a soft model is an initial and rough representation of the design intent where the aim of the designer is to show something rather quickly than accurately. Soft models are normally used to assess the overall size, proportion, and shape of several concept proposals. Soft models are usually constructed from dense sculpting foam, when it concerns representing monolithic objects in the beginning stages [Kojima 1991]. However, all kinds of easy to deform materials can be used for evaluating basic sizes and proportions in a quick manner. At this stage, the design is reshaped and refined by hand to explore and improve its tactile qualities through a more reflective way of making and analysing. Hereby, improvements are made on basic sizes, shapes and proportions for subsequent developments. This means that soft models are instrumental for designers to develop their first ideas and concepts as well as to determine clear directions for the next creative stages of the design process.

3.2 Hard model

Similarly to soft-models, hard models are technically non-functional [Ulrich and Eppinger 2012]. However, they are more accurate replicas of the final design in terms of appearance. Materials used for hard model are normally wood, dense foam, plastic, or metal. To a certain extent limited functionality may be incorporated in the model to demonstrate important usability aspects of the design, for example, push-up button or moving sliders etc. Kojima [1991] also stated that hard models are used to visually compare and analyse more advanced design ideas and concepts. From a marketing and consumer research perspective, hard models complement advertising campaigns, and are therefore useful to gauge how potential user and customers respond to prospective products.
3.3 Presentation model

Van Doren [1940] mentioned in his book that presentation models can be considered as the final embodiment tool for creative design and conceptualisation. In other words, it embodies all the designer’s decisions with respect to usability, aesthetic and marketing qualities of the designed product, which are relevant for communicating to the client. This statement is agreed upon by Kojima and Tano [1991] when they mentioned that presentation models should provide the exact image and detailing of the final product in order to facilitate an effective and responsible final decision making process. Sæter et al. [2012] also added that in these final design stages, the model will be constructed and verified using CAD data or control drawing, presenting fully detailed composition of the final product. Where justified, elements of the model will be simplified or omitted to save prototyping time and cost. Although “Presentation Models” are explicitly discussed by Van Doren [1940] and adopted by Kojima and Tano [1991] in their book, many designers and researchers have difficulties to classify this category of models. Sæter et al. [2012] referred to it as show models whereas Ulrich and Eppinger [2012] renamed it control model. In other words presentation models can be interpreted as a “watered-down” version of a full fledged prototype.

3.4 Prototype

Ulrich and Eppinger [2012] define prototype as “an approximation of the product along one or more dimensions of interest.” These dimensions are characterised as physical versus analytical and comprehensive versus focused. An analytical prototype is a non-tangible model, for example a mathematical model, whereas a physical prototype is an object, which looks similar to the final product. A focused prototype represents only parts of product, whereas a comprehensive prototype will provide a holistic representation of the final product before production. Sæter et al. [2012] further emphasised that prototypes usually demonstrate a high-level of functionality, which is representable to the final design solution. This automatically implies that a prototype, given today’s technology and production challenges, needs to be constructed from CAD data. Hereby, it is expected that prototypes are an exact or even better representation of the final product in terms of materials, construction, functionality appearance, etc.
4. Model making and prototyping with respect to the various stages of the design process

In design processes, models and prototype are produced to answer questions, which arise during critiques. Broek et al. [2009] claims that models and prototypes can help designers to manage their design process more effectively. According to Ulrich and Eppinger [2012], the classification of physical models by various researchers will be used to represent design ideas, concepts and solutions at different stages of the design process as shown in Figure 5. Additionally, the aspect of functionality has been introduced for every stages in the design process [Sæter et al. 2012].

By making physical model during the early design stages can help designers to visualise and solve complex product and system design problems. For example in the early conceptualization stages of the design process, soft 3-D models complement the ambiguity of 2-D sketches and drawings. Coherently with the creative development of the design concept, the qualities of accompanying models are also expected to improve up to the level of a “hard model”. This hard model will then be used in the detailing and materialisation stages of the design process to refine the selected design concept according to specifications as earlier stated in the project. Presentation model are instrumental for assisting designers and engineers in the engineering development phases to confirm the prototype design for manufacturing and assembly. However, it should also be mentioned that the final prototype is not the end result of a design process. Instead, Computer-aided design (CAD) models or engineering drawings are considered to be final outcome of the design process, as it will be the medium for design transfer and communication between designers and engineers [Ulrich and Eppinger 2012].

Broek et al. [2009] clearly stated that design processes will depend on, but also influence the complexity of the design task. From an explorative design perspective, early stage physical model are built to answer designers’ questions concerning overall shape, volume and proportions as efficiently and effectively as possible. Early in the design stage process, designers tend to develop as many soft models as possible to evaluate concepts as fast as possible, because of time and financial constraints. [Ulrich and Eppinger 2012]. However, these soft models should be accurately and geometrically well-defined to assess the alternative design solutions at the “Conceptualisation” and “Preliminary Refinement” stages of the design process (see figure 5). As designers tend to choose the fastest and cheapest material, such as foam and core board for early model making, which are low fidelity and it is quite difficult to present and represent a high level of detailing through these low-fidelity models [Hallgrímssson 2012]. Different from the conceptual design stage where everything is subject to significant changes, designers adopt high fidelity models to represent accuracy and confirm the ergonomic and aesthetics functionality of the design according to specifications in the final stages of the process. Here, the models are usually made from wood, dense foam, plastic or metal that show exact finishing and some functionality [Hallgrímssson 2012].
Figure 5. The linkage of models and prototypes with the different stages of the design process according to multiple researchers (adapted from [Ulrich and Eppinger 2012]).

Table 2 provides an overview of how physical models are classified according to type and usage. [Kimoji and Tano 1991], [Lafon and Mackay 2000], [Mascitelli 2000], [Broek et al. 2009], [Hallgrimsson 2012], [Sæter et al. 2012], [Ulrich and Eppinger 2012]. By viewing the classification according to usage we can analyse the relationships among them. Table 2 shows how four physical models are being assessed according to different criteria for designing activities. Each of the models has their own strengths and weaknesses with respect to how they are being applied in and contributes to the design process. [Broek et al. 2009]. As a designer it is essential to be aware of the qualities of different models and prototypes and understand how they can be applied in designing new products, before decisions are made to proceed with producing the product. As emphasised by Broek et al. [2009] every physical model used in the conceptual design development has a different purpose compared to those applied in the detail design stages. The differences are shown in table 2. However, models that are supporting both the conceptualisation and detailing stages of the design process should be able to demonstrate the qualities of the design and design concepts, such as form, ergonomic functionality, technical functionality, complexity volume and price. Moreover, designers also need to consider criteria for the prototyping and modelmaking process itself, especially with respect to reasonable lead times and resources for producing the models at various stages of the process.

When juxtapositioning “Types” and “Usage” as shown in Table 2 it can be seen that: (1) soft models are most suitable to demonstrate “proof of concept” in early stage of the product development. Furthermore, these low fidelity models were more suitable to communicate multiple design needs and explore creative design variations with various stakeholder than higher fidelity models. Besides this, low fidelity models would assist the designer in making design changes in a more flexible and cost efficient manner. This cost perspective towards physical model making will be elaborated in chapter 5. (2) hard models are most suitable to clarify the physical embodiment and production feasibility to designers as they are able to show the shape complexity without offering a finished prototype; (3) presentation models are a “proof of process”, which means that they embody all conceptual and detail design solutions as well as suggest how the product can be produced. (4) finaly a prototype can be used as “a proof of production” to demonstrate the product have gone through a complete and effective design and manufacturing process [Ullman 2003]. From a marketing and external communication perspective, presentation models are most suitable to persuade existing and potential customers to be engaged with the product to come.
### Table 2. Classifications of physical model according to usage

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<td>Communication</td>
<td>[Broek et al. 2009]</td>
<td>● Early communication with</td>
<td>● Communication tools for gaining buy-in of executive</td>
<td>● Useful to better communicate ideas to clients, managers</td>
<td>● Users expect the performance of the ultimate system to be</td>
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5. Conventional model making versus rapid prototyping on design and development practice

5.1 Creative and cost-efficient approaches in generating design solutions through models and prototypes

Ehrlenspiel et al. [2007] mentioned that costs for making design changes are minimal in the beginning stages of the design process. However, modification costs and efforts may significantly and exponentially increase as the design progresses towards the final stages of the design process. Romer et al. [2001] added that traditional tools such as sketches and simple physical models are very useful and cost efficient in generating design solutions in early phase of design process. Lim et al. [2008] complements this view by stating that the primary strength of an early prototype is in its incompleteness. It is the incompleteness that makes it possible to examine an idea’s qualities without building a copy of the final design. Prototypes are helpful as much in what they do not include as in what they do. Figure 6 shows the possibilities of influencing costs in design process using physical model as a support tool in generating ideas. Here, designers should be aware of how these media predict design and development costs with respect to planned as well as unplanned modifications. It is recommended that designers should use holistic physical models extensively and as early as possible in order to plan the design process more accurately in terms of focal areas, expected user involvement and cost estimations for the final design as well as related prototyping and pre-production activities. Hereby the author underlines that the iterative use of soft models in the early design stages, highlights key design problems more thoroughly, and enlarges the creative space for generating design solutions in a more cost effective manner.

Figure 6. The possibilities costs of model making in relation of design process, adapted from Ehrlenspiel et al. [2007]

5.2 Models and prototypes to facilitate usability

Avrahami and Hudson [2002] mentioned that design practise has gone through major changes in the last quarter of 20th century. One of the main changes was a focal shift to place the consumer, instead of the product, in the center of the design process. This approach, known as user-focused or user-centered design, requires that user needs, goals and desires are satisfied. In other words, user centered design is a process that involves users in designing, from the investigation of needs until the finalisation of the design. Within this framework of user-centered design, four key principles are emphasised: early focus on users and task, prototyping and user testing and iterative design [Gould and Lewis 1985].

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| management and customers  
[Masctelli 2000] | management  
[Masctelli 2000] | developers and end users.  
[Lafon and Mackay 2000] | the same as the prototype  
[Broek et al. 2009] |
User–centered approaches in conjunction with the implementation of models and prototypes, whether virtual or physical, are often being adopted in the study and design of human computer interaction (HCI) products and interfaces. Referring to Mackay and Fayard [1997], Dijkstra-Erikson et al. [2001] Human Computer Interaction is a multi-disciplinary field, which combines the elements of science, engineering and design. According to Norman and Draper [1986] HCI is an important field, where explorations of the interactive system between users, and artefacts within a specific environment centers around the use of “prototypes”. In comparison with Industrial design, prototyping in HCI is principally more embedded within the cognitive and analytical aspects of the designing activities. For example, models and prototypes are instrumental in the creation of user scenarios, allowing users to see and experience the system before it is realised. Underlined by Lafon and Mackay [2000], prototypes in a user centered design process help designers to explore real world scenario and to analyse user’s needs.

5.3 Rapid and virtual prototyping on design and development

Although the new and rapid techniques for prototyping are being introduced more profoundly, be aware of the existing spectrum of models conventional techniques of model making are still indispensable for the design industry [Verlinden et al. 2003]. Rapid prototyping and virtual prototyping are one of the most recent methods of prototyping, which have been introduced in the late 1980s and are still developing rapidly, as more than 30 difference techniques of RP have been developed and commercialized. [Chua et al. 2010]. Verlinden et al. [2003] stated that rapid prototyping can be referred to as a process, which create physical forms based on digital technology in an automated manner. They classified Rapid Prototyping under three categories: incremental, decremental and hybrid technologies [Verlinden et al. 2003, p.1]. Other scholars such as Chua and Leong [1997] classified RP in four primary areas which are “Input”, “Method”, “Material” and “Application”. Burns [1993] categorised rapid prototyping under two difference process, which are additive and hybrid processes, while, Chua et al. [2010] suggested an alternative way of classifying RP systems according to the initial consistency of the used material, which is liquid-based, solid-based and powder-based.

Campbell [2002] mentioned in his research that rapid prototyping methods are most suitable for explicitly showing usability, aesthetics and technical qualities of a design. RP methods are a fast and reasonably cost-effective alternative to the conventional methods of manufacturing of models and prototypes. The final model is an accurate representation of the actual product, which can be measure and evaluated in terms of ergonomics, aesthetics and technical functionality. Rapid Prototyping also helps to prospectively asses the possibilities for manufacturing through its close relationship with 3-D data. The preciseness of RP and CAD, may avoid designers making mistakes by overlooking unforeseen problems. However, there are other people who are of the opinion that rapid prototyping is not effective enough, because it fails in terms of repetition and duplication of the real product or system and may not be suitable for large size application [Verlinden et al. 2003].

With respect to virtual prototyping, CAD has been significantly overestimated as a tool to provide accurate and speedy reiterations of design proposals [Charlesworth 2007]. As the focus is on the creation of the CAD less attention is placed on the interactions and reflections with the design itself. This may in some cases result in short cuts, where design development steps were omitted in order to get a quick and inexpensive working model. In this context, the authors agree with Charlesworth [2007] that CAD has little or no value as a stimulus for idea generation, but significantly contribute in the refinement and final concept selection stages [Aldoy and Evans 2011]. However, CAD supported by more advanced modelmaking and prototyping technologies, can in some cases contribute to the (early) creativity stages of the design process. This is dependent on the educational background, interest and training of the designer. Engineering and scientifically inclined designers have a stronger aptitude to acquire and use CAD iteratively and exploratively. Conceptual ideas are generated in their minds and in discussion with stakeholders. Once the designer has created a mental picture of these ideas, he or she will visualise and iteratively refine them in CAD [Liem 2012].
6. Discussion

According to Lim et al. [2008] prototypes should not only be viewed as having a role in the evaluation of design solutions, they also have a generative role in enabling designers to reflect on their design activities and in exploring design spaces. This study has demonstrated that models and prototypes are indispensable representation tools for practicing designers. The classification of physical models in chapter 3 illustrated how models are used to support task clarification and conceptual design. From the early stages of the design process, physical prototypes enhance designers’ creativity and insight to solve design problems, develop creative ideas and concepts and refine the final design as thorough as possible as shown in figure 5. Complementary, the extensive use of models and prototypes may also have positive influence on how design practitioners manage their design processes [Broek et al. 2009]. The way they classify models and prototypes inherently determine the level of completeness of what should be delivered for each stage of the design process. In short, it helps the designer to set interim deadlines with clear targets.

From a presentation and communication perspective, it is essential that designers use models and prototypes to communicate their design with different stakeholders to gain a better understanding of their needs and interests [Lafon and Mackay 2000]. With respect to internal communication, models and prototypes will assist in developing marketing, promotion and advertising campaigns, and helps to facilitate the back-end product develop process among design and manufacturing engineers [Broek et al. 2009]. Concerning usability studies, models and prototypes may assist in soliciting passive or active participation from potential users and other stakeholders. In terms of active participation, where users and other stakeholders are involved in a co-creation process [Sanders and Stappers 2008], more innovative designs may be generated through the discovery of hidden needs.

Models and prototypes can be instrumental in facilitating design changes without too much burdening the financial resources of an organisation. Based on the “Economic principle of prototyping”, the simplest and most efficient prototypes are the best ones, making it possible to foresee and measure opportunities and limitations of a design idea [Lim et al. 2008]. Being aware of the existing spectrum of physical models, the designer should take the effort to carefully plan and strategise the use models and prototypes with respect his or her visualisation and virtual prototyping capabilities to efficiently and effectively support decision making activities throughout the designing process. The challenge for the designer is to iteratively customise a balanced selection of models and prototypes, which support the design and initial back-end development processes in the most effective and economical way.

7. Conclusion

This paper provided insight on how different physical models are being applied in the various design stages involving a wide range of stakeholders, such as engineers, users, marketeers, etc. Given the role in supporting design thinking, problem solving and communication, certain physical models and prototypes facilitate certain designers’ practices at different stages of the design process. The added value of physical models, are that it helps designers to communicate their designs with different stakeholders where insights have to be acquired and decisions made. From an economical perspective this study reveals on how models and prototypes are influential in determining when, how and to what extent design changes are allowed with respect to how far the design has progressed.

References

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